



**Riverside, 4 Canning Road, Lowestoft, Suffolk,  
NR33 0EQ**

# **Audit and Governance Committee**

## **Members:**

Councillor Geoff Lynch (Chairman)  
Councillor Tony Cooper (Vice-Chairman)  
Councillor Judy Cloke  
Councillor Linda Coulam  
Councillor Tess Gandy  
Councillor Chris Mapey  
Councillor Mick Richardson  
Councillor Rachel Smith-Lyte  
Councillor Ed Thompson

Members are invited to a **Meeting of the Audit and Governance Committee** to be held in the Conference Room, Riverside, on **Monday, 13 December 2021 at 6.30pm**

This meeting is being held in person in order to comply with the Local Government Act 1972. In order to comply with East Suffolk Council's coronavirus arrangements and guidance, the number of people at this meeting will have to be restricted to only those whose attendance is reasonably necessary.

Ordinarily, East Suffolk Council encourages members of the public to attend its meetings but on this occasion would encourage the public to watch the livestream, via the East Suffolk Council YouTube channel instead at <https://youtu.be/82wjcFgLCOY>

If you do believe it is necessary for you to be in attendance we encourage you to notify Democratic Services, by email to [democraticservices@eastsuffolk.gov.uk](mailto:democraticservices@eastsuffolk.gov.uk), of your intention to do so no later than 12 noon on the working day before the meeting so that the meeting can be managed in a COVID secure way and the Team can endeavour to accommodate you and advise of the necessary health and safety precautions.

However, we are not able to guarantee you a space/seat and you are advised that it may be that, regrettably, we are not able to admit you to the meeting room.

An Agenda is set out below.

## **Part One – Open to the Public**

	<b>Pages</b>
<b>1 Minutes</b> To confirm as a correct record the Minutes of the Meeting held on 20 September 2021.	<b>1 - 4</b>
<b>2 Declarations of Interest</b> Members and Officers are invited to make any declarations of Disclosable Pecuniary or Local Non-Pecuniary Interests that they may have in relation to items on the Agenda and are also reminded to make any declarations at any stage during the Meeting if it becomes apparent that this may be required when a particular item or issue is considered.	
<b>3 Apologies for Absence and Substitutions</b>	
<b>4 Annual Audit Letter for the year ended 31 March 2020 ES/0972</b> Report of the Assistant Cabinet Member for Resources	<b>5 - 43</b>
<b>5 Capital Strategy 2022/23 to 2025/26 ES/0973</b> Report of the Cabinet Member with responsibility for Resources	<b>44 - 60</b>
<b>6 Treasury Management Strategy Statement for 2022/23 &amp; Treasury Management Investment Strategy for 2022/23 ES/0974</b> Report of the Assistant Cabinet Member for Resources	<b>61 - 94</b>
<b>7 Corporate Risk Management Update ES/0975</b> Report of the Cabinet Member with responsibility for Resources and the Assistant Cabinet Member for Resources	<b>95 - 127</b>
<b>8 Arrangements for the appointment of External Auditors ES/0976</b> Report of the Assistant Cabinet Member for Resources	<b>128 - 135</b>
<b>9 CIPFA Financial Management Code ES/0977</b> Report of the Cabinet Member with responsibility for Resources	<b>136 - 197</b>
<b>10 Revised Internal Audit Plan 2021-22 ES/0979</b> Report of the Cabinet Member with responsibility for Resources and Assistant Cabinet Member for Resources	<b>198 - 206</b>

**11 Audit and Governance Committee's Draft Work Programme 2021/22** **207 - 207**

To consider the Committee's Forward Work Programme

**12 Exempt/Confidential Items**

It is recommended that under Section 100A(4) of the Local Government Act 1972 (as amended) the public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A of the Act.

**Part Two – Exempt/Confidential**

**13 Exempt Minutes**

- Information relating to the financial or business affairs of any particular person (including the authority holding that information).

**14 Purchase Order Update**

- Information relating to the financial or business affairs of any particular person (including the authority holding that information).

**15 Internal Audit: Status of Actions**

- Information relating to the financial or business affairs of any particular person (including the authority holding that information).

**16 Internal Audit Reports Recently Issued**

- Information relating to the financial or business affairs of any particular person (including the authority holding that information).

**Close**



Stephen Baker, Chief Executive

**Filming, Videoing, Photography and Audio Recording at Council Meetings**

The Council, members of the public and press may record / film / photograph or broadcast this meeting when the public and press are not lawfully excluded. Any member of the public who attends a meeting and objects to being filmed should advise the Committee Clerk (in advance), who will instruct that they are not included in any filming.

If you require this document in large print, audio or Braille or in a different language, please contact the Democratic Services Team on 01502 523521 or email: [democraticservices@eastsoffolk.gov.uk](mailto:democraticservices@eastsoffolk.gov.uk)



**The national Charter and Charter Plus Awards for Elected Member Development**  
East Suffolk Council is committed to achieving excellence in elected member development  
[www.local.gov.uk/Community-Leadership](http://www.local.gov.uk/Community-Leadership)